

## Foundation, AAOMS to collaborate on anesthesia and patient safety study

By William Passolt, CPA  
Chair, OMS Foundation

When the safety record of our specialty's anesthesia team model was questioned this summer, the Boards of AAOMS and the OMS Foundation agreed: Quality data are needed to tell the real story. To that end, the Foundation has committed to facilitate a study of past and prospective data related to anesthesia and patient safety. AAOMS and the Foundation have each committed \$75,000 to launch the study.

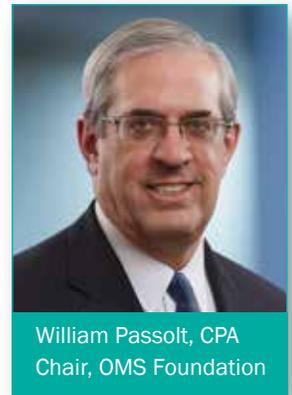
AAOMS's rollout of the OMS Quality Outcomes Registry (OMSQOR®) and Dental Anesthesia Incident Reporting System (DAIRS) has provided a good start to developing the data that are needed; your participation in these registries is urgently needed. The research funding commitment by AAOMS and the Foundation is intended to supplement the registry data with data specifically focused on outcomes.

This study is one of several exciting new programs underway at the Foundation. A new Clinical Research Support Grant is set to debut at the 2020 Clinical Trials Methods Course. Applications for this two-year, \$150,000 grant will be available in 2020 for clinical research commencing in 2021.

In addition, the first recipient of our International Fellowship for Cleft Lip and Palate and Craniofacial Surgery will travel to Beijing next September to train for a year with the expert surgeons at the Peking University School and Hospital of Stomatology. The International Fellowship is administered jointly by the OMS Foundation and the IAOMS Foundation with financial support provided by the OMS Foundation.

Following a successful inaugural year, our Global Initiative for Volunteerism and Education (GIVE) program is poised to grow. The OMS Foundation Alliance, energized by a \$10,000 gift-match challenge from the James and Carmen Hupp Foundation, raised more than enough at the 2019 Annual Meeting to fund 10 \$2,500 GIVE stipends in 2020. Our 2019 participants returned with finely honed technical skills and a heightened awareness of their capacity to make a difference in the world. Their stories are inspiring; I invite you to read more about GIVE on our blog at [OMSFoundation.org/about/news](http://OMSFoundation.org/about/news) and add your support to this worthy program.

"Relevance" was a recurring theme at the Foundation's Strategic Planning Session in 2018, and these new programs represent the Foundation's



William Passolt, CPA  
Chair, OMS Foundation

commitment to listen to its constituents and respond with programs that are in sync with the evolving priorities of our specialty. Your feedback is as necessary to our success as your financial support, and I ask that you be generous with both. None of these programs will succeed without consistent funding. If you haven't yet contributed in 2019, please consider a generous gift before Dec. 31. Every gift to the Foundation received in November and December will be matched, up to a total of \$25,000, thanks to the generosity of OMS Partners, LLC. ■

Donate online at [OMSFoundation.org](http://OMSFoundation.org), consider a gift of appreciated securities or lighten your 2019 tax burden by allocating the Required Minimum Distribution from your IRA directly to the OMS Foundation.

**Questions?** Call 847-233-4304 or email [info@omsfoundation.org](mailto:info@omsfoundation.org).

### INSIDE THIS ISSUE

Foundation news:  
Major gifts announced

First non-OMS Chair elected

A planned giving primer

Research update:  
TMJ disc regeneration

Featured donors:  
Dr. and Mrs. Jeffrey Wallen

Featured corporate donor:  
OMS Partners, LLC

Alliance news:  
Annual Meeting moments

2019 GIVE recipient profile

# Donors endorse Foundation's progress with major gifts

Dr. A. Thomas Indresano has witnessed the evolution of the OMS Foundation firsthand from the vantage point of academia, making time during his career as a professor of oral and maxillofacial surgery to serve as a Foundation Director in 1992-94 and again in 2010-12. As an AAOMS Trustee, newly retired from the OMS department chairmanship at the University of the Pacific School of Dentistry, he helped craft and implement the Foundation's Strategic Alliance with AAOMS.

As his term as AAOMS President neared its conclusion in September, Dr. Indresano and his wife, Rita, decided to increase their R.V. Walker Society commitment to \$100,000.

"It's gratifying to see the Foundation realizing its potential at last," Dr. Indresano said, "Rita and I are so appreciative of the

value that it brings to the specialty. We agreed that a substantial commitment to the R.V. Walker Society was a worthy legacy; we're confident that it will be money well spent."

Like the Indresanos, Dr. Colin Bell and his wife, Susan, are among the Foundation's most steadfast donors. The Bells made their first gift in 1985 and have contributed nearly \$50,000 since, mostly via recurring monthly donations.

Dr. and Mrs. Bell announced in October their commitment to a \$100,000 gift to the OMS Foundation, including an increase of their R.V. Walker Society commitment to \$100,000.

"Susan and I feel privileged to be part of the OMS family," Dr. Bell said.

"We recognize the critical role of research and education in preserving the preeminence of our specialty, and we enthusiastically support the mission and programs of the OMS Foundation."

Both Dr. Indresano and Dr. Bell have devoted their careers to educating the next generation of OMSs and can attest to the impact of the Foundation's programs.

"Those first few years in academia are critical," said Dr. Indresano. "I can't overstate the importance of FEDA (Faculty Educator Development Award) grants in keeping our best educators in academia, and the Foundation's Research Support Grants provide a much-needed funding alternative to NIH."

Foundation Chair Mr. William Passolt commended the vision and leadership of the Indresanos and Bells, saying "The generosity of these families is exemplary, and their gifts will have a lasting impact on the specialty. Drs. Indresano and Bell consistently championed education as teachers and donors during AAOMS's first century. With their gifts, they've helped to underwrite research and education opportunities for the next generation of practitioners and educators." ■

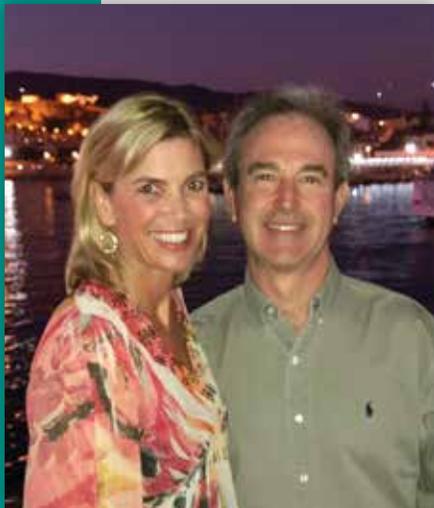


Dr. A. Thomas and Rita Indresano



Dr. Colin and Susan Bell

## Featured Donors: Dr. Jeff and Lisa Wallen



"The profession of oral and maxillofacial surgery has been very good to us and we can think of no better way to 'give back' than to support the OMS Foundation."

"We believe that quality research and education are essential to preserving the profession for the benefit of future surgeons and the patients whom they will serve."

"After exploring multiple options, we settled on Treloar & Heisel's new whole-life insurance program to upgrade our R.V. Walker Society

commitment. We didn't need an attorney, the application process was streamlined and efficient, and the premium is fully tax deductible. Plus, the policy offers immediate cash value to the Foundation should that ever become a priority. We strongly encourage all AAOMS members to support the Foundation and to consider this new planned giving opportunity."

– Dr. Jeff and Lisa Wallen

## 2019-20 OMS Foundation Board of Directors

William C. Passolt, CPA, *Chair*  
 Louis K. Rafetto, DMD, *Vice Chair*  
 Robert S. Clark, DMD, *Treasurer*  
 Douglas W. Fain, DDS, MD, FACS  
 Brett L. Ferguson, DDS, FACS  
 A. Thomas Indresano, DMD, FACS

Dale J. Misiek, DMD  
 J. David Morrison, DMD  
 W. Frederick Stephens, DDS  
 Thomas P. Williams, DDS, MD, FACS  
 Michael B. Border, DDS, MD  
*ROAAOMS Liaison*

## Contact us

info@omsfoundation.org  
 OMSFoundation.org  
 847-233-4304

## Research Update: TMJ disc regeneration with 3D-printed scaffolds



Dr. Chang Hun Lee

Groundbreaking research often starts with out-of-the-box thinking, but selling investors on a truly innovative concept can be an uphill battle. Dr. Chang Hun Lee, Associate Professor of Craniofacial Engineering at Columbia University, was confident that his idea to regenerate healthy TMJ discs using stem cells and anatomically correct 3D-printed scaffolds had merit. NIH remained unconvinced, and repeatedly turned down his initial applications for funding.

Dr. Lee's hypothesis was destined to remain just that unless he could secure the funds to prove it in a lab. That's when a senior colleague at the university's College of Dental Medicine steered Dr. Lee to the OMS Foundation. The Foundation's Research Support Grant program is designed to open the door to innovation and the major grant-makers who might consider a project once it passed muster with other funders. Dr. Lee's investigation was approved for a \$75,000 Research Support Grant in 2015.

"Without the OMS Foundation's 'seed money,' this project would not have gotten off the ground," Dr. Lee said. "NIH grants are very competitive and becoming ever more so, especially for a new investigator. The limited funding is more likely to go to established researchers, not to innovative, high-risk projects. The Foundation's grant helped us gather the 'premium data' needed to get us in the door at NIH. We've since received an

NIDCR Small Grant for New Investigators (R03) and have an application for an NIDCR R01 under review."

Temporomandibular joint disorders (TMJDs) are estimated by NIDCR to affect over 10 million Americans with about 70 percent of TMJD patients suffering from displacement of the TMJ disc. Eighty to 90 percent of symptomatic TMJDs patients have disc displacement, which is highly associated with osteoarthritis (OA) that may necessitate surgical treatment.

"Previous attempts to replace the TMJ disc with alloplastic and/or synthetic grafts have failed, resulting in further joint degradation," Dr. Lee said. "Recently, regeneration of the TMJ disc has emerged as an alternative approach to overcome limitations of current treatments for TMJ disorders. In this study, we envisioned to regenerate TMJ discs using anatomically correct 3D-printed scaffolds with delivery of bioactive cues. Our scaffold systems were designed to regenerate TMJ discs by recruiting host stem cells, followed by guided differentiation. We have successfully regenerated TMJ discs in rabbits and preclinical large animal models. Our research is currently focused on clinical translation."

Dr. Lee's research has been published extensively and presented at meetings of the International, American and Canadian Associations of Dental Research. He was honored with Columbia University College of Dental Medicine's Mentor of the Year Award in 2018 and named runner-up for the AADR's 2018 Joseph Lister Award recognizing new investigators with original research in oral disease prevention or oral health promotion. ■

### 2019 Annual Meeting moments



#### DID YOU KNOW?

The OMS Foundation Alliance welcomes **every spouse/family member** of OMS Foundation donors into its vibrant community, with no membership fee. Learn more at [OMSFoundation.org/Alliance](https://www.OMSFoundation.org/Alliance).



# Foundation welcomes its first non-OMS Chair

The OMS Foundation marked its 60th anniversary with a groundbreaking leadership change, electing Mr. William C. Passolt, CPA, as its first non-OMS Chair.

Mr. Passolt is President and CEO and a Director for both OMSNIC and its subsidiary, Fortress Insurance Company. He has been a Director of the Foundation since 2015 and served as its Treasurer and Vice Chair prior to accepting the reins of leadership in September from retiring Foundation Chair Dr. Kathy Banks.

“It is an honor to serve the specialty as chair of its Foundation,” Mr. Passolt said. “When I was invited to join the Board in 2015, I gladly accepted the opportunity to give back to a specialty which is very dear to me. In recent years, I have had the privilege to work closely with my fellow Directors to develop and implement an ambitious Strategic Plan for the Foundation.

“I’m confident that my business experience and perspective can help us realize the goals that we set together. I look forward to working with my fellow Directors, AAOMS, and the Foundation’s staff, Alliance and committees to secure the resources and relationships needed to meet the evolving needs of the OMS specialty.”

“I gladly accepted the opportunity to give back to a specialty which is very dear to me. I’m confident that my business experience and perspective can help us realize the goals we set together.”

The Foundation welcomes new officers Dr. Louis K. Rafetto, Vice Chair, and Dr. Robert S. Clark, who replaced retiring

Treasurer Dr. J. David Johnson. Drs. J. David Morrison and A. Thomas Indresano have stepped up to fill seats vacated by Dr. Banks and Dr. Victor Nannini, who began his term as AAOMS President in September.



William Passolt, CPA  
Chair, OMS Foundation

At its meeting in October, the Board also welcomed Dr. Michael Border, who took the seat of retiring ROAAOMS Liaison Dr. Thomas Burk. Returning Directors include Drs. Douglas W. Fain, Brett L. Ferguson, Dale J. Misiek, W. Frederick Stephens and Thomas P. Williams. ■



Dr. Douglas and Kimberly Baasch with Killian

## Meet GIVE recipient Dr. Douglas Baasch

The week that Dr. Douglas Baasch spent staffing a cleft and craniofacial surgery clinic in Vietnam was not his first experience with humanitarian mission trips, but it was the most meaningful by far. Dr. Baasch, a fifth-year, dual-degree resident at University of Florida Health Science Center – Jacksonville, teamed up with Dr. Barry Steinberg’s Facing Futures team in Hoi An, Vietnam, in March 2019, with support from the OMS

Foundation’s Global Initiative for Volunteerism and Education (GIVE) program. Facing Futures’ team also included Dr. Jerry Popham, an oculoplastic surgeon from Denver, and a pediatric anesthesia team from San Francisco. The group has volunteered together in Vietnam for 20 years and has served the communities surrounding Hoi An since 2008.

“It’s a highly trained and efficient team,” Dr. Baasch said. “Between us, we saw 15-20 cases a day for a total of 74 cases by week’s end. I’d supported international humanitarian service projects as a dental student and with my family, but this trip was different. This was the first time I’d been able to offer a high-level skill set, and I learned so much,” he said.

“It was a wonderful opportunity to spend a week with a master pediatric cleft and craniofacial surgeon, learning and practicing Dr. Steinberg’s techniques in a hyper-focused environment. It was a surgical training gold mine and a reminder of how we as surgeons fit into the bigger picture, and that our skills are ultimately for helping people.”

Dr. Baasch was one of eight OMS residents to receive a \$2,500 travel stipend through GIVE in 2019. Funding for the program was provided by the OMS Foundation Alliance, which has set its sights on awarding 10 GIVE stipends in 2020.

To learn more or donate to GIVE, visit [OMSFoundation.org/GIVE](https://OMSFoundation.org/GIVE). ■

Kimberly and Killian Baasch attended the 2019 Annual Meeting as guests of the Alliance via a Norma L. Kelly Resident Spouse Scholarship. These scholarships are jointly supported by the Alliance and OMSNIC. Learn more at [OMSFoundation.org/Alliance](https://OMSFoundation.org/Alliance).

## Mark your Calendar

**Dec. 31, 2019:** Gift-match challenge deadline

**Feb. 8-9, 2020:** Resident Transitions into Practice Conference

**April 1:** FEDA application deadline

**April 15:** Clinical Trials Methods Course Travel Scholarship application deadline

**April 29-May 1, 2020:** Clinical Trials Methods Course

**April 15 – July 15, 2020:** Research Support Grant and Student Research Training Award applications accepted

**Ongoing:** GIVE applications accepted



# Planned giving is key to strategic philanthropy



Austin Leavitt, MS, CFP  
OMS Partners, LLC

*Note: the following is excerpted from Integrating Advanced Charitable Planning into Your Wealth Management Strategy at the 2019 Annual Meeting.*

Strategic charitable planning involves a mixture of emotional and practical decision-making: Is the cause dear to your heart? Is the charity worthy of your support? (Have you checked Form 990?) What are the financial (usually tax-related) ramifications of your generosity? OMS Partners, LLC, is proud to support the mission of the OMS Foundation and endorses its efforts to increase donor understanding and participation in its R.V. Walker Society, which recognizes donors who commit to a planned gift of \$25,000 or more to the Foundation. To support this effort, OMS Partners, LLC, offers a planned giving primer for those considering a new or enhanced legacy gift to the Foundation.

Once you've decided to integrate planned giving into your philanthropic portfolio, it can be tempting to write a bequest into your will and move on. Why bother to pursue a more-complex charitable gift? Well, how confident are you that your heirs share your philanthropic goals? The safest strategy for ensuring that your charitable objectives are met is to donate during your lifetime. Beyond that, a variety of charitable planning options exist to ensure that your wishes are followed before and after your passing. These philanthropic strategies are in ascending order of complexity. Your legal and financial advisors can help you decide which option is best for you:

## 1. Cash donation (bequest) in your will –

A cash donation is easy for both donor and recipient, but tax benefits associated with smaller cash donations during your lifetime have all but disappeared along with the IRS itemized deduction, and wills can be (and often are) contested.

## 2. Donating appreciated securities –

Donating the securities themselves instead of the proceeds from their sale provides the donor with a charitable tax deduction without incurring capital gains tax liability, and the tax-exempt recipient charity receives the full value of the securities.

## 3. Donating from a Donor-Advised Fund (DAF) –

Increasingly popular under the new Tax Cuts and Jobs Act, a DAF functions similar to a philanthropic checkbook. Contributions to your DAF are irrevocable but tax deductible. Strategic philanthropists reap tax benefits by making large contributions to their DAF then parceling out these funds to qualified 501(c)3 charities at their discretion. Tax benefits apply only to the DAF contribution, not the subsequent disbursements.

## 4. Donating from your IRA –

IRA account owners 70½ or older are required to take annual Required Minimum Distributions (RMDs) from their account, which can substantially increase your Adjusted Gross Income. You can avoid additional income tax liability by donating up to \$100,000 of your RMD annually to charity via a Qualified Charitable Distribution (QCD). Because QCDs are not factored into your adjusted gross income, they deliver an automatic tax benefit by preventing an undesirable tax-bracket boost.

## 5. Donating life insurance policies –

As families mature, life insurance becomes less essential to financial security. Donating a life insurance policy to charity is easily done, but getting the details right is important.

### a. Designating a charity as beneficiary –

When a donor names a charity as a beneficiary of a new or existing policy, the policy remains part of the donor's estate. No immediate tax deduction is allowed, but the insurance proceeds qualify for an estate tax deduction.

### b. Donating an existing policy –

Designating a charity as irrevocable owner/beneficiary of an existing policy delivers immediate and long-range tax benefits. The premiums qualify as a charitable gift, and the policy value is excluded from estate taxes.

### c. Donating a new policy –

Naming a charity as irrevocable owner/beneficiary of a new policy delivers the same tax benefits as above. Treloar & Heisel offers a streamlined whole-life insurance policy benefiting the OMS Foundation with no medical exam required for qualified applicants. Call 800-852-4900 to enroll.

## 7. Charitable Remainder Trust (CRT) –

Charitable Remainder Annuity Trusts (CRAT) and Charitable Remainder Unitrusts (CRUT) are irrevocable trusts administered by your estate manager. They provide an annual payment from invested assets to non-charitable beneficiary(s) (usually the trust maker and/or the trust maker's spouse) with the remainder of the trust property distributed to the charity upon the death of the last income beneficiary.

## 8. Charitable Lead Trust (CLT) –

This irrevocable trust, administered by your estate manager, provides an annual payment of 5 percent of the invested trust assets to the designated charity. At the end of the trust life, the remainder passes to the designated (non-charitable) beneficiary outside the donor's taxable estate. This strategy offers unique tax opportunities for small, privately held businesses with limited liquidity.

## 9. Private Family Foundation –

Though initially expensive to establish, family foundations offer an appealing opportunity for high-wealth families to foster intergenerational philanthropy. Donor contributions (irrevocable but tax deductible) fund grants to beneficiaries selected by the foundation's governing board. Five percent of the trust assets must be donated to qualified charity(s) each year, and heirs often are invited to participate as junior board members.

Professional advice is essential to securing your legacy. To execute a planned gift to OMS Foundation, consult with your financial and legal advisors, then notify the OMS Foundation (Mary DiCarlo at [mdicarlo@omsfoundation.org](mailto:mdicarlo@omsfoundation.org)) of your commitment. ■



## Featured corporate donor: OMS Partners, LLC



Drs. James A. Baker  
and Melissa L. Farrow  
OMS Partners, LLC

OMS Partners provides comprehensive practice management services exclusively to the oral and maxillofacial surgery sector. In the changing landscape of corporate dentistry, private equity and a host of other competitive forces, OMS Partners provides support, network negotiating leverage and increased back-office efficiencies for the private owner to continue to practice free from the burdens of third-party owner interests. Whether starting a private practice, expanding an enterprise or seeking to outsource business processes to allow more time with patients, OMS Partners can help. ■

*“The specialty of OMS as practiced today bears little resemblance to the profession as it existed when we trained. Educational and professional opportunities for residents and faculty are critical as we navigate an evolving healthcare delivery system. Our support of the OMS Foundation helps ensure that the research and development needed to move the specialty forward will continue.”*

– James A. Baker, DDS, and Melissa L. Farrow, DDS, MS  
OMS Partners, LLC



## It's our turn.

“I remember with pride my walk to that podium to receive my FEDA award. FEDA was the ‘shot in the arm’ that helped me stay on track and focused on my academic goals. Now it’s up to us to ensure that the opportunities we enjoyed are available to the next generation of OMSs. Please join us in ‘leading from the front’ with a generous gift to support the future of our specialty.”

– Dr. Deepak and Preethi Krishnan

Your gift to the OMS Foundation supports innovative research, education opportunities for residents and a strong academic sector. **Donate now to DOUBLE YOUR IMPACT! OMS Partners, LLC, will match every gift to the Foundation received by Dec. 31, up to a total of \$25,000.**

The OMS Foundation is a 501(c)3 nonprofit organization. Your contribution is tax-deductible to the fullest extent allowed by law.



**OMS Foundation**  
9700 W. Bryn Mawr Ave.  
Rosemont, IL 60018  
[OMSFoundation.org](http://OMSFoundation.org)